



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan, et al.
Serial No: 09/976,443

Atty Docket: 60021-376002
Filed: October 12, 2001

Title: "AUTOMATED COACHING FOR A FINANCIAL MODELING AND
COUNSELING SYSTEM"

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

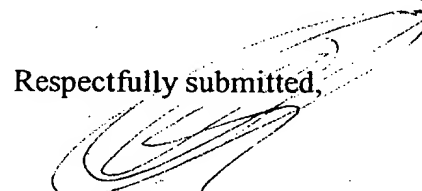
SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS

Enclosed herewith for filing in the above-identified application are the following:

- 1) Copy of Notice to File Missing Parts of Application (Filing Date Granted);
- 2) Substitute Specification with corrected margins;
- 3) 16 sheets of informal drawings; and
- 4) Postcard for date-stamped return as confirmation of receipt of these items.

The Commissioner is authorized to charge any required additional fees, or credit any overpayment, to deposit account 02-3964.

Respectfully submitted,



RAMBOD NADER
Reg. No. 47,262

Date: January 29, 2002

OPPENHEIMER WOLFF & DONNELLY LLP
Customer No. 25696
P.O. Box 10356
Palo Alto, CA 94303

I hereby certify that this correspondence with all attachments is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 29, 2002 by Patricia Beilmann.



Patricia Beilmann

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,443	10/12/2001	Ronald E. Sloan	60021.376002

CONFIRMATION NO. 2727

FORMALITIES LETTER



OC00000007138773

Adjustment date: 03/19/2002 SSALEEKU
02/20/2002 BABRAHA1 00000049 023964 09976443
01 FC:105 130.00 CR

Date Mailed: 11/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/29/2002 BABRAHA1 00000049 023964 09976443

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CR

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Stephen B. Slutsky
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE